Electronic spin transition in $\text{FeO}_2$: Evidence for Fe(II) with peroxide $\text{O}_2^{2-}$

Bo Gyu Jang,† Jin Liu,‡ Qingyang Hu,§ Kristjan Haule,¶ Ho-Kwang Mao,* Wendy L. Mao,†,‡ Duck Young Kim,§,¶,∥ and Ji Hoon Shim†,§,¶,∥

1Department of Chemistry, Pohang University of Science and Technology, Pohang 37673, Korea
2Department of Geological Sciences, Stanford University, Stanford, California 94305, USA
3Center for High Pressure Science and Technology Advanced Research, Shanghai 201203, China
4Department of Physics and Astronomy, Rutgers University, Piscataway, New Jersey 08854, USA
5Stanford Institute for Materials and Energy Sciences, SLAC National Accelerator Laboratory, Menlo Park, California 94025, USA
6Division of Advanced Nuclear Engineering, Pohang University of Science and Technology, Pohang 37673, Korea

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The discovery of FeO$_2$ containing more oxygen than hematite (Fe$_2$O$_3$), which was previously believed to be the most oxygen rich iron compound, has important implications for the study of deep lower mantle compositions. Compared to other iron compounds, there are limited reports on FeO$_2$, making studies of its physical properties of great interest in fundamental condensed matter physics and geoscience. Even the oxidation state of Fe in FeO$_2$ is the subject of debate in theoretical works and there have not been reports from experimental electronic and magnetic properties measurements. Here, we report the pressure-induced spin state transition from high spin state to low spin state. This pressure-induced transition is accompanied with structural phase transition. Our results suggest that the localized nature of both Fe 3d orbitals and O$_2$ molecular orbitals should be correctly treated for unveiling the structural and electronic properties of FeO$_2$.

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I. INTRODUCTION

The recent discovery of pyrite-structured FeO$_2$ at high pressures and temperatures has generated significant interest as an alternative explanation for seismic observations in Earth’s deep mantle and for its potential implications for volatile storage and cycling within our planet [1–4]. To date, the electronic and magnetic properties of FeO$_2$ under high pressure are still poorly understood. Also there has been controversy surrounding the oxidation state of FeO$_2$ under high pressure. It was suggested that the existence of peroxide $\text{O}_2^{2-}$ in FeO$_2$ induces the oxidation state of Fe(II) similar to FeS$_2$. A band-type insulator-to-metal transition is expected to produce Fe$^{(2\pm3)+}$ by the elongation of the O$_2$ dimer bond length [5]. In another theoretical work by Streltsov et al., however, Fe(III) with $\text{O}_2^{2-}$ state was suggested due to the long O$_2$ dimer bond length compared to the usual bond length of peroxide [6].

The chemical stability of FeO$_2$ and FeO$_2$H has also been debated. Hu and coworkers reported that FeO$_2$H$_x$ ($0 \leq x \leq 1$) can be synthesized under lower mantle condition and $x$ can vary depending on the external condition by releasing an H$_2$ molecule [1–3,7]. However, Nishi et al. claimed that FeO$_2$H is much more stable than FeO$_2$ with H$_2$ based on density functional theory (DFT) calculations [4]. The dehydrogenation of FeO$_2$H is nothing but the oxidation process of FeO$_2$H so that this issue is also closely related to the oxidation state of Fe and the O$_2$ dimer. The discrepancy in the stability of FeO$_2$H may come from the description of the oxidation state in DFT calculation which is similar to the first issue.

Transition-metal oxides (TMOs) like iron oxides exhibit rich phase diagrams, which are mainly originated from the electron correlation effects of the 3d orbitals. Many TMOs such as FeO, Fe$_2$O$_3$, and MnO show Mott-type insulating behavior at ambient pressure. This Mott insulating state can be broken down under high pressure, where the $U/W$ ($U$, Coulomb interaction; $W$, bandwidth) ratio becomes smaller, and it eventually reaches the metallic state [8]. Usually this metal-insulator transition (MIT) is accompanied by a volume-collapsing spin state transition (SST), from a high spin (HS) Mott insulator to low spin (LS) state [9–13].

The crystal structure of FeO$_2$ and FeS$_2$ is similar to that of B1-type FeO. This Pa-3 pyrite-type structure can be easily obtained by replacing oxygen atoms in B1-type FeO with $X_2$ ($X = O$ or S) dimers. Due to the octahedral symmetry of Fe, Fe 3d orbitals split into threefold $t_{2g}$ and twofold $e_g$ bands. (More precisely, $t_{2g}$ levels further split into twofolds $e_g$ and $t_{2g}$ due to slightly distorted octahedral symmetry.) Despite high similarity in the crystal structure and the same oxidation state of Fe in FeO, FeS, and FeS$_2$, which are well-studied compounds, they show different behavior under pressure. FeO and FeS undergo a SST ($S = 2$ to $0$) accompanied with breakdown of Mott insulating phase with partially filled $t_{2g}$ and $e_g$ orbitals under high pressure [12–16]. On the other hand, FeS$_2$ does not display Mott-type MIT and SST under high pressure. It is reported to be a nonmagnetic ($S = 0$) band insulator with fully occupied $t_{2g}$ orbitals [15,17–19]. A potential SST in FeO$_2$ under pressure is not only an interesting
issue in and of itself but also is very relevant to resolving the controversy surrounding the oxidation state of Fe in this compound. With octahedral crystal-field splitting, the Fe(II) state shows $S = 2$ to 0 transition while the Fe(III) state shows $S = 5/2$ to 1/2 transition (see Supplemental Material [20]).

In this paper, we provide evidence for a SST in FeO$_2$ under high pressure by means of synchrotron experiments and first-principles calculations. We find that the SST in FeO$_2$ observed in our experiment originates from the Fe(II) state rather than the Fe(III) state. The delocalization error of DFT may have caused the problem of predicting the crystal structure (O$_2$ dimer bond length) and the controversy in the oxidation state and the chemical stability between previous studies [1–6].

II. METHODS

Pyrite-structured FeO$_2$ samples were synthesized from hematite ($\delta$-Fe$_2$O$_3$) powders together with ultrapure oxygen gas (O$_2$) at 80–90 GPa and 1800 K in diamond-anvil cells (DACs) coupled with laser heating techniques. Details of the sample synthesis and characterization are described in Liu et al [3].

High-pressure experiments were performed on FeO$_2$ up to 94 GPa using DAC techniques combined with x-ray emission spectroscopy (XES) and x-ray diffraction (XRD) at beamlines 16ID-B and 16ID-D of the High Pressure Collaborative Access Team, Advanced Photon Source (APS), Argonne National Laboratory (ANL).

High-pressure XES experiments were performed with an incident x-ray beam of an energy of 11.3 keV and a bandwidth of $\sim$1 eV at beamline 16ID-D, APS, ANL. Each XES spectrum was collected for approximate 1 h and the two to four spectra at a given pressure were added together for good statistics between 30 and 91 GPa at 300 K. The pressure was determined based on the Raman spectra of the diamond anvils.

XRD experiments were conducted with a highly monochromatized incident x-ray beam of an energy of 33.17 keV (0.3738 Å) at beamline 16ID-B, APS, ANL. The x-ray beam was focused down to 2–5 $\mu$m in the full width at half maximum at sample position.

DFT calculations were performed by using the WIEN2K code, which uses the full-potential augmented plane-wave method [21]. The Perdew-Burke-Ernzerhof generalized gradient approximation (GGA) was used for the exchange-correlation functional [22]. A $12 \times 12 \times 12$ $k$-point mesh is used for self-consistent calculation. For structural optimization at different volumes, we used the Vienna $ab$ initio simulation package [23], where a plane-wave cutoff is set to 500 eV and a $10 \times 10 \times 10$ $k$-point mesh is used. Dynamic mean-field theory (DMFT) calculations combined with DFT (DFT+DMFT) were also performed. The correlation effect of Fe 3$d$ orbitals is treated by a DMFT loop [24] on the top of an effective one-electron Hamiltonian generated from the WIEN2K calculation. The impurity model was solved by using continuous time quantum Monte Carlo [25].

III. RESULTS

Figure 1(a) displays the XES measurements on FeO$_2$ under high pressure. The typical Fe K $\beta$ main emission peak is located around 7058 eV. The most important feature is a satellite peak located near 7040 eV which is a typical K $\beta'$ peak of Fe [14,15]. This K $\beta'$ peak collapses with increasing pressure and this is a typical evidence of SST of iron oxides. Synchrotron Mössbauer spectroscopy (SMS) results demonstrate that FeO$_2$ is in the nonmagnetic (NM) state between 76 and 93 GPa [20].

The unit-cell volume of FeO$_2$ was determined from XRD patterns between 40 and 94 GPa. A volume collapse was observed at around 60 GPa, where FeO$_2$ undergoes a SST of Fe [Fig. 1(b)]. The pressure-volume relation of FeO$_2$ has been calculated from NM DFT+U method ($U = 5$ eV) for the nonmagnetic high-pressure regime. Its extension to the high spin state low-pressure regime is to guide the eye, in order to demonstrate the volume deviation.
FIG. 2. (a) Calculated volume ($V$) and Coulomb interaction ($U$) phase diagram of FeO$_2$. Colors present the size of the magnetic moment. Open and closed circles indicate the insulating and metallic phases, respectively. The dashed region indicates the experimentally observed transition point. (b, c) Calculated (b) Fe-O bond length and (c) O$_2$ dimer bond length depending on the $U$ value. The arrows in (a) and (c) indicate that the magnetic moment increases as the O$_2$ dimer bond length increases. There are abrupt changes both in Fe-O and O-O bond length at $U = 5$ eV. (d) Relative energy depending on the O$_2$ dimer bond length at volume of 24.9 Å$^3$/f.u. with $U = 5$ eV. There are two local minima depending on the O$_2$ dimer bond length.

volumes deviate from the calculated pressure-volume curve, indicating the transition from NM to HS state.

Also recent near-edge x-ray absorption spectroscopy results clearly shows that the oxidation state of Fe in FeO$_2$ is 2+ rather than 3+ [7,26]. The position of the Fe K edge remains constant from 53 to 81 Gpa, indicating that there is no oxidation state change during the SST of FeO$_2$ [7].

We performed spin-polarized calculations using DFT+$U$ method to investigate the SST observed in high-pressure XES and XRD experiments aforementioned. The internal parameters are fully relaxed with given volume and the Coulomb interaction $U$ value while keeping the Pn-3m symmetry. Figure 2(a) shows the calculated volume ($V$) and Coulomb interaction ($U$) phase diagram of FeO$_2$. The color indicates the size of the magnetic moment while open and closed circles indicate the insulating and metallic phase, respectively. The black dashed region indicates the experimentally observed transition point. Three phases are clearly distinguished by the size of the magnetic moment: (1) the NM LS region (blue), (2) the intermediate spin (IS) state ($S \sim 1/2$) region (green), and (3) the HS ($S \sim 2$) region (red). The IS ($S \sim 1/2$) state is believed to be unusual for the Fe(II) oxidation state under octahedral crystal field. The size of the magnetic moment changes abruptly between phase 2 and 3, while it increases rather smoothly between phase 1 and 2 [20]. This phase diagram itself looks reasonable, because the size of the magnetic moment increases as the volume and $U$ value increase. However, it cannot describe the SST observed in the experiment due to the unusual IS state in region 2 for the following reason.

At small $U$ value ($U < 2$ eV), the transition between LS to IS is observed but it is not accompanied with the abrupt change in volume and magnetic moment. It shows continuous growth in the magnetic moment which is not a first-order transition. Also the energy-volume curves do not show the first-order transition behavior which is shown in the experi-

| TABLE I. Ionic radius of the Fe atom depending on its spin and oxidation state [27]. |
|-----------------|-----------------|
|                 | Fe(II) $d^6$    | Fe(III) $d^5$ |
| LS              | 0.75 Å          | 0.69 Å        |
| HS              | 0.92 Å          | 0.79 Å        |
mental result. At $U = 0 \text{ eV}$, the energy-volume curve of IS gradually diverges from that of LS as the magnetic moment gradually increases [20]. Although the HS ($S = 2$) state can be observed at large $U$ value ($U \geq 2 \text{ eV}$), the intermediate spin state can be stabilized even at the smallest volume we tested. It is in contrast with the experimental result that FeO$_2$ is nonmagnetic below the transition volume. The calculated pressure-volume curve of the IS state cannot describe the experimentally observed high-pressure volume. Also the calculated transition volume is much larger than the experimentally observed transition volume [20].

To investigate the origin of this discrepancy, we analyze the bond length in detail. It is well known that the ionic radius of a metal atom varies according to its spin state and oxidation state. The ionic radius of the Fe atom depending on its spin state and oxidation state is listed in Table I [27]. Also O$_2$ dimer bond length is related to its oxidation state. The bond length of a neutral O$_2$ molecule is 1.21 Å and it increases as the O$_2$ dimer takes more electrons by occupying the antibonding orbitals. For example, the bond length of superoxide (O$_{2^-}$) in KO$_2$ is 1.28 Å [28] and that of peroxide (O$_{2^-}$) in BaO$_2$ is 1.49 Å [29]. A quantitative approach on the bond length can offer an effective way of understanding the calculated phase diagram in Fig. 2.

Figures 2(b) and 2(c) show the bond length of Fe-O and O-O at several volumes and $U$ values. It should be noted that the O$_2$ dimer bond length increases and moves away from the experimental value as the $U$ value increases at small volume [dashed arrow in Fig. 2(c)] and this trend is in contrast to the tendency observed in the NM calculation denoted by cross marks [5]. In NM calculation, the O$_2$ dimer bond length is getting shorter and closer to the experimental value as the $U$ value increases as shown in Fig. 2(c) in this paper and Fig. 3 in Ref. [5]. These results also support that the LS state of FeO$_2$ is nonmagnetic.

All the calculated values for the O$_2$ dimer bond length are longer than the experimentally reported value of $\sim 1.9$ Å [1,7] and also longer than 1.8 Å, which is the critical value for Fe(II) and the peroxide state reported in our previous study [5]. As O$_2$ dimer bond length increases, the size of the magnetic moment gradually increases to induce an unusual IS state with an Fe$^{2+}$+$^+$ and O$_2^-$$^-$ configuration. As the $U$ value and volume increase, the size of the magnetic moment of the IS state eventually converges to $\sim 2 \mu_B$, which is close to the magnetic moment of Fe(III) LS ($S = 1/2$) compounds. Large $U$ values ($U = 3, 4 \text{ eV}$) with large volumes eventually produce the localized Fe $d$ orbital of the $S = 5/2$ state.

Furthermore, an abrupt bond-length change is observed at large volume with $U = 5 \text{ eV}$ both in Fe-O and O-O bonding. It is due to the competition between the nearly Fe(III) HS ($S = 5/2$) state with long O$_2$ dimer (short Fe-O bond) and Fe(II) HS ($S = 2$) state with short O$_2$ dimer (long Fe-O bond). Figure 2(d) clearly shows two local minima depending on the O$_2$ dimer bond length at 24.9 Å$^3$/f.u. with $U = 5 \text{ eV}$. The IS to HS transition at $U = 4 \text{ eV}$ is close to the [Fe(III), $S = 1/2$] to [Fe(III), $S = 5/2$] transition while the transition at $U = 5 \text{ eV}$ is close to the [Fe(III), $S = 1/2$] to [Fe(II), $S = 2$] state. The SST at $U = 5 \text{ eV}$ is accompanied by a change in the oxidation state of the Fe and O$_2$ dimer so that the abrupt bond-length changes are observed as shown in Figs. 2(b)–2(d).

The analysis of bond length indicates that the discrepancy between the experiment and the calculation arises from the Fe$^{2+}$+$^+$ and O$_2^-$$^-$ state, which makes an unusual IS state for the Fe(II) case. We perform a test calculation with a fixed short O$_2$ dimer bond length of 1.8 Å, which is the critical value for Fe(II) from calculation and also close to the experimental value. Surprisingly, the IS state region disappears and just the LS ($S = 0$) state and HS state ($S = 2$) remain as shown in Fig. 3(a). The orange dash-dotted line indicates the boundary for Fe(II) with peroxide state. Below this line, it shows metallic behavior due to the small overlap between the Fe $t_{2g}$ bands and O$_2$ dimer $\sigma^*$ bands as shown in Fig. 3(b). Above the line, FeO$_2$ has Fe$^{2+}$ with peroxide state.

![Figure 3](https://example.com/fig3.png)

**FIG. 3.** (a) Calculated $V$–$U$ phase diagram of FeO$_2$ using a fixed O$_2$ dimer bond length of 1.8 Å. Open diamonds indicate the Mott insulating state. The orange dash-dotted line indicates the boundary for Fe(II) with peroxide state. The LS region is divided into (b) and (c) regions by the orange dash-dotted line. The electronic structure evolves from (b) LS metallic state to (c) LS band insulator, and finally to (d) HS Mott insulator along the white guide line in (a).
Considering the tendency that simple DFT underestimates band gap, this border line can be shifted to lower volume and Coulomb interaction $U$. The SST occurs at around 22 Å$^3$/f.u. with reasonable $U$ value (5 eV) in DFT+$U$ calculations. This phase diagram is in good agreement with the experimental result. The schematic electronic structures of each region are described in Figs. 3(b)–3(d). These results imply that the SST of FeO$_2$ originates from Fe(II) with a peroxide state. However, the oxidation state of Fe can be $(2+\delta)+$ under high pressure due to the short Fe-O bonding as already discussed in our previous study [5].

This phenomenon is also confirmed by a DFT+DMFT calculation. With Fe $d$ orbital occupancy of 6 and short O$_2$ dimer bond length, it also shows direct SST from $S = 2$ to 0 and the IS state is not observed. The IS state can only be observed when we nominally change the Fe $d$ occupancy to 5 [20]. When a long O$_2$ dimer from the structural relaxation is considered, the DFT+DMFT calculation also fails to reproduce the experimental HS-LS transition.

We calculate local spin susceptibility, $\chi_{loc}$ for different bond lengths at a volume of 24.9 Å$^3$/f.u. which is clearly larger than the experimental transition volume [20]. With an O$_2$ dimer bond length of 1.49 Å (long Fe-O bond), it shows the HS state. The calculated $\chi_{loc}$ at several temperature points are well fitted by the Curie-Weiss formula $\chi_{loc} = C/T$, as expected for a local spin system. On the other hand, with an O$_2$ dimer bond length of 2.1 Å (short Fe-O bond), the calculated $\chi_{loc}$ are very small and almost $T$ independent due to NM solution. These DFT+DMFT results again suggest that the HS-LS transition of FeO$_2$ can occur only with Fe(II) and a peroxide state and is very sensitive to the O$_2$ dimer bond length (Fe-O bond length).

The SST of FeO$_2$ is also accompanied with a Mott-type transition. The open circles and diamonds in Fig. 3 indicate a band insulator and Mott insulator state, respectively. In Fig. 4, the DFT+DMFT spectral function at 22.8 Å$^3$/f.u. clearly shows fully occupied $t_{2g}$ ($e_g^\pi$ and $a_{1g}$) and fully unoccupied $e_g$ bands with band gap (~1 eV), which corresponds to the LS state of Fe(II). At a larger volume of 23.9 Å$^3$/f.u., it shows partially occupied $t_{2g}$ and $e_g$ bands indicating the HS state of Fe(II) with Mott insulating behavior. The inset shows the calculated $\chi_{loc}$ for each volume. The calculated $\chi_{loc}$ at 22.8 Å$^3$/f.u. shows $T$-independent NM behavior while the $\chi_{loc}$ at 23.9 Å$^3$/f.u. follows the Curie-Weiss law due to its local spin moment.

**IV. DISCUSSION**

As mentioned above, the spin-polarized DFT+$U$ calculation always gives a long O$_2$ dimer bond, which fails to explain the experimental result. There are several possible explanations for this discrepancy. The simplest is that the magnetic ordering configuration used in our calculations may be wrong. However, this can be easily excluded because if there is another energetically favorable magnetic configuration the magnetic moment persists well below 22 Å$^3$/f.u., which is the experimental HS-LS transition boundary. Within magnetic ordering used in our calculations, the magnetic moment is already stabilized down to very small volumes compared to the experimental result as shown in Fig. 2(a).

The other possible explanation is that there can be a structural phase transition accompanied with the SST. However, there is no experimental evidence supporting a structural phase transition. X-ray-diffraction patterns in previous studies have not shown any abrupt change between 50 and 65 GPa, where the SST occurs [2]. We also performed phonon calculations on FeO$_2$ and it does not show any dynamic instability. However, this may be an important issue for future study.

The remaining possibility for the discrepancy may come from the limitation of the current calculation method. It is well known that simple local density approximation (LDA) and GGA underestimate the band gap, which is connected with a failure of description of molecular orbital energy level. It is due to the delocalization error of simple LDA and GGA. DFT calculation may underestimate the splitting between the O$_2$ $\pi^*$ and $\sigma^*$ band, so the O$_2$ dimer can easily get more electrons from Fe, making Fe$^{(2+\delta)+}$ and O$_2^{(2+\delta)-}$ states. In other words, the calculations easily predict FeO$_2$.
as a metallic system and prefer the structure with long O₂
dimer. Streltsov et al. [6], interpreted the oxidation state of Fe
in FeO₂ as 3+ rather than 2+ due to this problem. A similar
issue was reported in NiSe₂, which also adopts a pyrite-type
structure with Se₂ dimers in it. The simple DFT calculation
overestimates the Se₂ dimer bond length by ~0.15 Å com-
pared to the experimental value [30,31]. The controversy on
the oxidation state of Fe may be due to this reason.

In addition, if FeO₂ comes close to having Fe³⁺ and O²⁻,
the σ* band becomes half filled and the correlation effect
of the σ* orbital should be considered like KO₂ which has
partially filled π* orbitals [32]. Although we circumvent
this problem by simply adopting the short O₂ dimer in the
structure, this is an important issue for future research. A
further study with a more precise description on the localized
picture of O₂ molecular orbitals is therefore recommended.

We investigate the spin state transition of FeO₂ by using
both experimental and first-principles approach. The abrupt
volume collapsing occurs around 50–60 GPa and the x-ray
emission spectrum indicates the existence of the SST. The
typical K β’ peak of Fe due to the HS state collapses with
increasing pressure. However, the phase diagram obtained
from the relaxed structure with DFT+U calculation fails to
describe the experimental SST due to the existence of an
unusual IS state. We found that this IS state comes from the
Fe(2+) and O²⁻ state which originates from long
O₂ dimer bond length (short Fe-O distance). The DFT+U
and DFT+DMFT calculations on the structures with short
O₂ dimers give a correct description of the SST observed
in the experiment. It suggests that the HS-LS transition of
FeO₂ occurs with Fe(II) and a peroxide state and is very
sensitive to the O₂ dimer bond length. We suggest that the
precise description of not only Fe d orbitals but also the O₂
dimer molecular orbital should be considered in future study
to correctly describe structural and electronic properties of
FeO₂. A detailed crystal-structure study including the analysis
of O₂ dimer bond length under pressure would also be very
interesting to understand the physics of FeO₂.

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